

IN THE UNITED STATES DISTRICT COURT

For the Southern District of California

SECURITIES AND EXCHANGE
COMMISSION
Plaintiff,

V.

JAMES E. FRANKLIN,
Defendant.

Case No. 02cv84 DMS (RBB)

PROOF OF SERVICE

I, James E. Franklin, declare:

I am over the age of 18 and am the Petitioner in this matter. On June 9, 2025, I served the following documents:

- Motion for Relief from Final Judgment Pursuant to Rule 60(b)(6)
- Memorandum of Points and Authorities
- Declaration of James E. Franklin
- Proposed Order
- Table of Exhibits
- Proof of Service

on the following recipient:

Office of the General Counsel
U.S. Securities and Exchange Commission
100 F Street, NE
Washington, DC 20549

1 Clerk of the Court U.S. District Court
2 Southern District of California
3 333 West Broadway,
4 Suite 420 San Diego, CA 92101

5 James P. Connor, Esq.
6 Securities and Exchange Commission
7 Los Angeles Regional Office
8 444 S. Flower Street, Suite 900
9 Los Angeles, CA 90071

10 Department of Justice – Civil
11 Division Federal Programs Branch
12 1100 L Street NW
13 Washington, DC 20005

14 ☒ (BY ELECTRONIC SERVICE) I served the documents via mail to the address listed
15 above.

16 ☐ (BY MAIL) I deposited the documents in a sealed envelope with first-class postage,
17 addressed to the recipient, and placed them in the U.S. Mail.

18 ☐ (BY PERSONAL SERVICE) I personally delivered a true and correct copy to the above-
19 listed address.

20 I declare under penalty of perjury under the laws of the United States that the foregoing is
21 true and correct.

22 Executed on June 10th, 2025, at Ramona, California.

23 

24 James E. Franklin
25 Petitioner, Pro Se
26 1212 H Street, #125
27 Ramona, CA 92065
28 (720) 771-0140